



# Amalgamated Bank

America's Labor Bank

U.S. DEPT. OF LABOR  
FDICIA/PUBLIC DISCLOSURE  
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GABRIEL P. CAPRIO  
PRESIDENT AND CHIEF EXECUTIVE OFFICER

June 12, 2001


Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5638  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: Amalgamated Bank  
EIN 13-4920330

Gentlemen:

In accordance with Section 2520.104-23 of the Department of Labor Regulations under ERISA, please be advised that Amalgamated Bank maintains two (2) pension plans primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. (Notice has previously been filed with respect to one of such plans; the other is a newly-established plan.) The plans are The Amalgamated Bank Amended and Restated Excess Plan (which has both an excess benefit component and a "top hat" component), and The Amalgamated Bank Supplemental Executive Retirement Plan, covering 7 employees and 26 employees, respectively.

Very truly yours,

  
Gabriel P. Caprio

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**Amalgamated Bank**

15 UNION SQUARE, NEW YORK, NEW YORK 10003-3378

**GABRIEL P. CAPRIO**  
PRESIDENT & CEO

WEST CLASS  
FIRST CLASS  
NEW YORK  
JUN 14 '01  
U.S. POSTAGE  
\$0.25  
6829708

TOP HAT PLAN EXEMPTION  
PENSION & WELFARE ADMINISTRATION  
ROOM N-5638  
U.S. DEPARTMENT OF LABOR  
200 CONSTITUTION AVENUE, N.W.  
WASHINGTON, DC 20210

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