

2520052091488

RECEIVED
PENSION AND WELFARE BENEFIT ADMINISTRATION
02 FEB 14 PM 12:11

✓ **Alternative Reporting And Disclosure Statement**
For Nonqualified Deferred Compensation Plans

To: U.S. Department of Labor
Pension and Welfare Benefit Administration
Room N 5638
200 Constitutional Ave. N.W.
Washington, DC 20210

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for un-funded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR Sec. 2520.104-23, the following information is provided by the undersigned administrator:

1. The name of the Employer is: **The 409 Group, Inc**
2. The mailing address of the Employer is: **5155 Financial Way, Mason, Ohio 45040**
3. The Employer Identification Number is: **31-1485652**
4. The above named Employer maintains a Plan (or Plans) primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
5. Number of Plans and Eligible Employees in each Plan:
 - **One** Plan(s) covering **50** Eligible Employees.
6. The Employer will provide a copy of the agreement(s) to the office of Pension and Welfare Benefit Program upon request.

The 409 Group, Inc
An Ohio Corporation

By: 
Authorized Person

Dated: 12-21-01

The 409 Group, Inc
5155 Financial Way
Mason, Ohio 45040



U.S. Department of Labor
Pension and Welfare Benefit Administration
Room N 5638
200 Constitutional Ave. N.W.
Washington, DC 20210

20210+0001

