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U.S. DEPT. OF LABOR
PUBLIC DISCLOSURE
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December 11, 2001

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title 1, Part 1 as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Section 2520.104-23, the following information is provided by the undersigned Plan Administrator:

- (1) The name of the employer is Vantage Financial Group, Inc..
- (2) The mailing address of the employer is 6200 Rockside Road, Suite 100, Cleveland, Ohio 44131.
- (3) The employer's federal identification number (EIN) is 34-1051417.
- (4) The initial number of participants in the plan is one (1).
- (5) The adoption date of the Plan is September 1, 2001.

The above named employer maintains this plan primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

By:

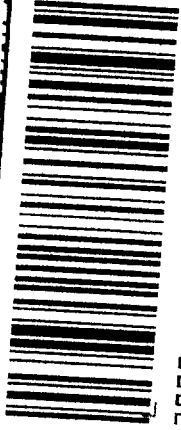
Keith A. Brown
Treasurer

Dated: 12/11/01

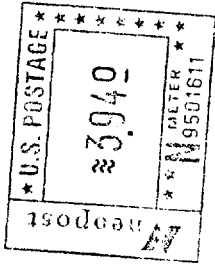
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*Securities are offered by a Registered Representative of and through Walnut Street Securities, Inc. (WSS), Member NASD and SIPC. Vantage Financial Group, Inc. is not a subsidiary or affiliate of WSS.

VANTAGE
FINANCIAL GROUP, INC.
A SINGLE-SOURCE FINANCIAL SERVICES COMPANY



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