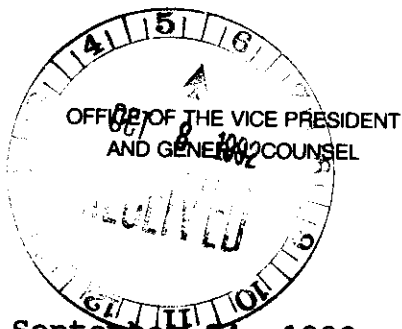


# Phoenix House

2520032065242



September 24, 1992

**CERTIFIED RETURN RECEIPT**

Pension and Welfare Benefits Administration  
Department of Labor  
P.O. Box 75212  
Washington, DC 20013-5212

Re: Phoenix House Foundation, Inc.  
Employer Id. No. : 237013149  
Alternative Reporting and Disclosure Requirement under 29  
C.F.R. 2520.104-23

Dear Sir or Madam:

Enclosed please find a duplicate original letter which sets forth the alternative reporting and disclosure statement required to be filed under Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in the Department of Labor Regulations, 29 C.F.R. 2520.104-23.

A copy of this letter was sent certified mail on September 23, 1992 with a \$1000 check (copy enclosed). Our files do not show whether it had an original signature.

Sincerely,

A handwritten signature in cursive script that reads "Anita F. Barrett".

Anita F. Barrett  
Plan Administrator

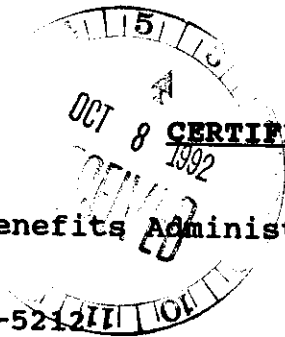
Enclosure

# Phoenix House

OFFICE OF THE VICE PRESIDENT  
AND GENERAL COUNSEL

September 22, 1992

Pension and Welfare Benefits Administration  
Department of Labor  
P.O. Box 75212  
Washington, DC 20013-5212



Dear Sir or Madam:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. 2520.104-23, the following information is provided by the undersigned employer and a check for \$1000 payable to the U.S. Department of Labor is enclosed.

Name and Address of Employer: Phoenix House Foundation, Inc.  
164 West 74th Street  
New York, New York 10023

Employer Identification  
Number: 237013149

Phoenix House Foundation maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans and  
Participants in Each Plan: 1 Plan covering 2 employees,  
one of whom terminated his  
employment on 3/31/92.

The filing of this statement is intended solely to comply with Reg. Sec. 2520.104-23 to the extent it applies, and may not, by itself, be treated as an admission by Phoenix House Foundation that the arrangements described herein are "employee pension benefit plans" subject to the Employee Retirement Income Security Act of 1974, as amended.

Respectfully submitted,

*Anita F. Barrett*

Anita F. Barrett, Plan Administrator

Enclosure

