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**CENTRAL STATES  
SOUTHEAST AND  
SOUTHWEST AREAS  
HEALTH AND WELFARE AND PENSION FUNDS**

**EMPLOYEE TRUSTEES**  
RAY CASH  
JERRY YOUNGER  
GEORGE J. WESTLEY  
CHARLES A. WHOBREY

**EMPLOYER TRUSTEES**  
HOWARD McDOUGALL  
ARTHUR H. BUNTE, JR.  
TOM J. VENTURA  
DANIEL J. BRUTTO  
GARY F. CALDWELL

**EXECUTIVE DIRECTOR**  
THOMAS C. NYHAN

**CERTIFIED MAIL --**  
**RETURN RECEIPT REQUESTED**

November 22, 2002

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Gentlemen:

This filing is made in compliance with the reporting and disclosure requirements of Sections 101-111 of the Employee Retirement Income Security Act of 1974, 29 U.S.C. §§ 1021-1031, and in particular compliance with the reporting alternative established by regulation of the Secretary of Labor for unfunded plans maintained by an employer for a select group of management or highly compensated employees. 29 CFR §§ 2520.104-23 and 2520.104-24.

**Name and address  
of the employer:**

(1) Central States, Southeast and Southwest Areas Pension Fund ("the Pension Fund") and  
(2) Central States, Southeast and Southwest Areas Health and Welfare Fund ("the Health and Welfare Fund"), jointly administered at 9377 West Higgins Road, Rosemont, Illinois 60018-4938.

**Employer identification  
number (EIN) of the  
Pension Fund:**

36-6044243

Top Hat Plan Exemption  
November 22, 2002  
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Employer identification  
number (EIN) of the  
Health and Welfare  
Fund:

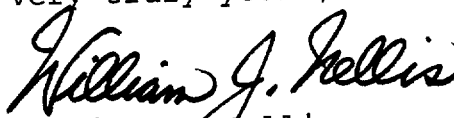
36-2154936

**Declaration:**

The Pension Fund and the Health and Welfare Fund, acting jointly as employer, maintain two unfunded plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. One of these plans is for one employee of both the Pension Fund and the Health and Welfare Fund, and the other of these plans is for a second employee of both the Pension Fund and the Health and Welfare Fund.

We will promptly provide whatever documents, relating to these plans, are requested by or on behalf of the Secretary of Labor.

Very truly yours,



William J. Nellis  
Secretary to the Board

WJN:ph

cc: Thomas C. Nyhan, Esq.  
Mr. Mark F. Angerame



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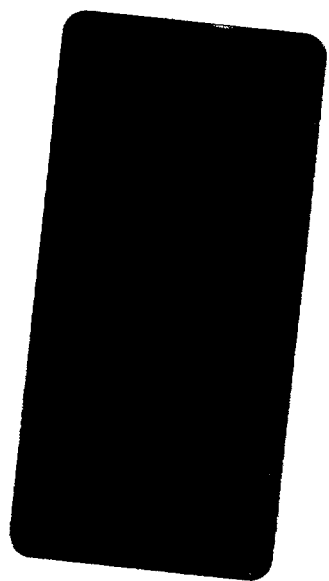
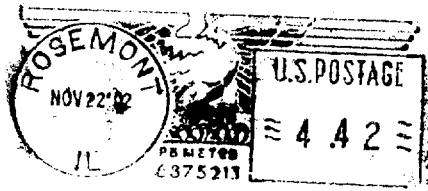
**CENTRAL STATES  
SOUTHEAST AND SOUTHWEST AREAS  
HEALTH AND WELFARE AND PENSION FUNDS**

9377 W Higgins Rd  
Rosemont IL 60018-4938

**CERTIFIED MAIL**



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