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# University of Hartford

Name of Tax-Exempt Employer: The University of Hartford

Address of Tax-Exempt Employer: 200 Bloomfield Avenue  
West Hartford, CT 06117

Employer Identification Number: 06-0731360

## Top-Hat Statement

By Plan Administrator

The University of Hartford (the "Employer") hereby declares that the purpose of the 457(b) Deferred Compensation Plan of the Executive Staff (the "Plan") is to provide deferred compensation primarily for a select group of management and highly compensated employees. The number of employees covered under the Plan is **six (6)**. In addition, the Employer, maintains **0** unfunded top-hat plan described in Department of Labor Regulation Section 2520.104-23(b).

Date: October 10, 2002  
By: Arnold C. Greenberg  
Title: Chairman, Board of Regents  
(On Behalf of the Plan Administrator)

A statement should be filed on a one-time basis within 120 days following adoption of the plan. The statement should be filed with the Department of Labor at the following address:

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, D.C. 20210

Human Resources Development 200 Bloomfield Avenue  
West Hartford, CT 06117-1599



Address Service Requested

Top Hat Plan Exemption  
Pension + Welfare Benefits Admin.  
Room N-5644  
US Dept. of Labor  
200 Constitution Ave, NW  
Washington DC 20210

