



# San Luis Obispo County Employees' Association

P.O. Box 3915, San Luis Obispo, California 93403-3915 • (805) 543-2021

29 December 1992

U. S. Department of Labor  
Pension and Welfare Benefits Administration  
P. O. Box 75212  
Washington DC 20013-5212

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RE: Statement of Employer Pursuant to DOL Regulation 2520.104-23

Gentlemen:

The San Luis Obispo County Employees' Association, the employer, is providing the information shown below in order to satisfy the one-time filing requirement for the alternative method of compliance with ERISA's reporting and disclosure requirements with respect to certain unfunded deferred compensation plans for a select group of highly compensated employees. (DOL Regulation Section 2520.104-23). In addition, please find enclosed our check #6753, payable to the U. S. Department of Labor in the amount of One Thousand Dollars in payment of the penalty due with this filing.

1. San Luis Obispo County Employees' Association, Inc.  
P. O. Box 3915  
San Luis Obispo CA 93403-3915
2. Federal EIN #95-2150962
3. The San Luis Obispo County Employees' Association, Inc. maintains one pension plan, exclusively for the purpose of providing deferred compensation for a select group of highly compensated employees:  
  
ITT Hartford - Hartford Insurance  
Contract #GC-109152  
Number of Plan Participants = 3
4. The San Luis Obispo County Employees' Association, Inc. will furnish any plan documents in our possession if requested by the Secretary of Labor.

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In conjunction with submitting this filing report, and remitting the \$1,000.00 civil penalty, the San Luis Obispo County Employees' Association, Inc. requests a waiver of the civil penalty. Our pension plan with ITT Hartford, as cited above, specifically names them as the Administrators of the Plan and therefore the party responsible for the timely filing of all required "...reporting forms required by the applicable taxing authority within the time frame prescribed by law." <sup>1</sup>

The San Luis Obispo County Employees Association, Inc. will be turning to the Hartford Insurance Company for redress of this failure to file on a timely basis. Therefore, this filing does not constitute admission of any fault or failure on the part of the San Luis Obispo County Employees' Association to comply with governmental regulations.

Sincerely,



Paul K. Roller  
General Manager

PKR/mm

1. ITT Hartford (with the) San Luis Obispo County Employee Association, Administrative Services Agreement, Section 5.5, Dated 3/14/88, signed into effect 6/29/89

JAN 1993  
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28903



San Luis Obispo County Employees' Association  
P.O. Box 3915, San Luis Obispo, California 93403-3915

Fold at line over top of envelope to the  
right of the return address

**CERTIFIED**

P 108 749 326

**MAIL**

931 SAN LUIS OBISPO  
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U. S. Department of Labor  
Pension and Welfare Benefits Administration  
P. O. Box 75212  
Washington DC 20013-5212

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