

**Claremont University Consortium**  
 RISK MANAGEMENT AND BENEFITS ADMINISTRATION



150 E. Eighth St.  
 Claremont, California  
 91711-3998

909/621-8049 Fax 909/621-8169

April 13, 2004

**Via Certified Mail**

**Return Receipt Requested**

7002-2410-0003-5971-6526

Top Hat Plan Exemption  
 Pension and Welfare Benefits Administration  
 Room N-1513  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, D.C. 20210

Re: Keck Graduate Institute 457(b) Deferred Compensation Plan

Dear Sir or Madam:

The purpose of this letter is to file a statement with the Secretary of Labor in accordance with Department of Labor Regulation §2520.104-23 as an alternative method of compliance with the reporting and disclosure provisions of Title I of the Employee Retirement Income Security Act of 1974 with regard to the above-captioned "top-hat" plan (the "Plan") adopted and maintained by Keck Graduate Institute (the "Employer"). The Employer's address and federal tax identification number are as follows:

150 E. Eighth St.  
 Claremont, CA 91711  
 Identification #: 95-4625327

As of the date of this letter, the Plan has approximately two [2] participants. This arrangement is primarily for the purpose of providing deferred compensation for a select group of the Employer's management or highly compensated employees. The Employer maintains no other top-hat arrangements. If you have any questions or require additional information in connection with this letter, please contact the undersigned.

Very truly yours,

Russ Schiefelbein

THE CLAREMONT COLLEGES

Pomona College - 1887 Claremont Graduate University - 1925 Claremont University Consortium - 1925  
 Scripps College - 1926 Claremont McKenna College - 1946 Harvey Mudd College - 1955 Pitzer College - 1963  
 Keck Graduate Institute - 1997

Claremont  
University  
Consortium



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