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The Market Funded Lending Industry

To: Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

From: Employer: American Financial Services Association

Employer Identification Number: 53-0025360

Address: 919 18th St, N.W. Washington, D.C. 20006

Date: October 25, 1998

This document constitutes the statement required by Department of Labor Regulation 2520.104-23 to be filed with the Secretary of Labor in respect to Non-qualified Deferred Compensation Plans maintained by the above employer.

The employer currently maintains 3 Non-qualified Deferred Compensation Plan(s) for executives who are members of a select group of management or who are highly compensated.

The number of participants in each plan is as follows:

Plan 1 1
Plan 2 1
Plan 3 1

Signed

Plan Administrator: [Signature]

Title: President & CEO of

Employer: American Financial Services Assn.