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Schwartz & Freeman

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PWE
DEPARTMENT OF LABOR
EMPLOYEE DISCLOSURE

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VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

March 19, 2001

Pension and Welfare Benefits Administration
U.S. Department of Labor
Room 5644
Washington, D.C. 20210

Re: Robert G. Lyon & Associates, Inc.

Dear Ladies and Gentleman:

Robert G. Lyon & Associates, Inc. adopted an unfunded deferred compensation plan. It is a top hat plan. The Company's address is 4825 N. Scott, Suite 400, Schiller Park, IL 60176. The Company's employer identification is 36-2727901. The plan is maintained for the purpose of providing deferred compensation for a select group of management or highly compensated employees. There is one plan and two (2) employees are in the plan.

This notice is being provided within 120 days of the effective date of the plan.

Please call the undersigned if you need any additional information.

Very truly yours,

SCHWARTZ & FREEMAN


Stephen R. Miller

SRM:pdw

CERTIFIED

Z 431 017 937

MAIL

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LAW OFFICES

SRM
401 NORTH MICHIGAN AVENUE
SUITE 1900
CHICAGO, ILLINOIS 60611



PENSION AND WELFARE BENEFITS
ADMINISTRATION
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