

RECEIVED

TOP HAT PLAN EXEMPTION STATEMENT

1. EMPLOYER: Geo. Pfau's Sons Company, Inc.

2. ADDRESS: 800 Wall Street
Jeffersonville, Indiana 47130

3. EMPLOYER IDENTIFICATION NUMBER 35-0579690

4. The employer maintains one or more plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

5.	<u>Plan Name</u>	<u>Number of Employees Covered</u>
	<u>Deferred Compensation Agreement</u>	<u>6</u>

6. Upon request, a copy of the plan document will be furnished to the Department of Labor.

Geo. Pfau's Sons Company, Inc.

By: *Norman E. Pfau*
 Norman E. Pfau, Jr.
 President

33955

Monroe Shine & Co., Inc.
MONROE SHINE & CO., INC.
CERTIFIED PUBLIC ACCOUNTANTS

P.O. Box 1407, 222 E. Market St., New Albany, IN 47150
812•945•2311 FAX 812•945•2603

December 31, 1992

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Division of Reporting Compliance
Pension and Welfare Benefits Administration
P.O. Box 75212
Washington, D.C. 20013-5212

Re: Geo. Pfau's Sons Company, Inc.

We enclose the following documents, Certified Mail, Return Receipt Requested, at the request of Geo. Pfau's Sons Company, Inc.:

1. Letter of Mr. Norman E. Pfau, Jr. dated December 31, 1992.
2. Top Hat Plan Exemption Statement.
3. Geo. Pfau's Sons Company, Inc. check payable to the United States Department of Labor for \$1,000.

Sincerely,

Dale Gettelfinger
Dale Gettelfinger

DLG:jc
Enclosures

cc: Mr. Norman E. Pfau, Jr.

Geo. Pfau's Sons Company, Inc.
800 Wail Street
P.O. Box 7
Jeffersonville, Indiana 47131

800 PFAU.OIL
812. 283.6697
Telex 20.4135
Fax 812 283.0765

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Washington, D.C. 20013-5212



Re: Geo. Pfau's Sons Company, Inc.


Geo. Pfau's Sons Company, Inc. maintains a "top hat" plan for the benefit of select highly compensated management employees.

Geo. Pfau's Sons Company, Inc. desires to take advantage of the Department of Labor's amnesty program for late and un-filed "top hat" plan exemption statements. The following items are enclosed herewith:

1. TOP HAT PLAN EXEMPTION STATEMENT, in compliance with Department of Labor Regulation Section 2520.104-23.
2. Check for \$1,000 payable to the U.S. DEPARTMENT OF LABOR.

Sincerely,

Geo. Pfau's Sons Company, Inc.

By 
Norman E. Pfau, Jr.
President

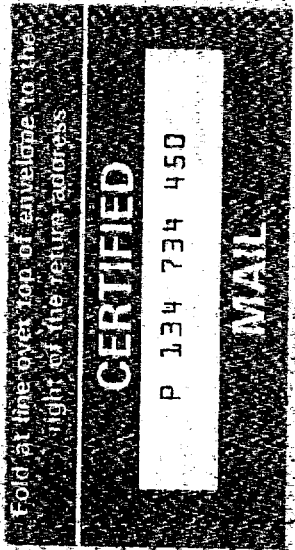
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Monroe Shine & Co., Inc.
MONROE SHINE & CO., INC.
CERTIFIED PUBLIC ACCOUNTANTS

222 East Market St., P.O. Box 1407, New Albany, N.Y. 14715



Fold at line over top of envelope to the right of the return address

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