



2520040184543

**EXCESS LINE ASSOCIATION OF NEW YORK**

*Richard L. Smith • Executive Director*

September 15, 1992

**Pension and Welfare Benefits Administration  
P.O. Box 75212  
Washington, D.C. 20013-5212**

**Re: Notice of Plan of Deferred Compensation**

**Gentlemen:**

This notice is given pursuant to 29 CFR Section 2520.104-23 and the guidance issued under the Pension and Welfare Benefits Administration Assessment of Civil Penalties - Top Hat Plan, 57 Fed. Reg. 33019 (1992). The undersigned hereby files the following information with respect to its plan of deferred compensation:

1. **Name and Address of Employer:**  
Excess Line Association of New York  
195 Broadway - Suite 2003  
New York, New York 10007
2. **Federal Employer Identification No. [EIN]:**  
22-2937521
3. Excess Line Association of New York maintains a deferred compensation plan primarily for the purpose of providing deferred compensation to a select group of management or highly-compensated employees.
4. Excess Line Association of New York maintains one (1) such plan covering one employee.

We are enclosing a check in the amount of \$1,000. to cover the civil penalty for submitting a late disclosure statement for the plan under the above-described amnesty program.

3838

Kindly date-stamp the enclosed extra copy of this notice and return it to us in the self-addressed, stamped envelope provided.

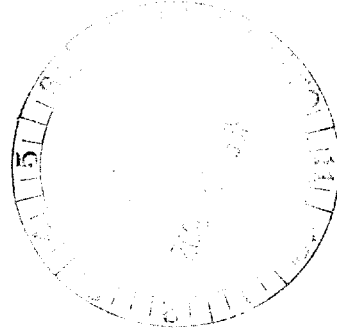
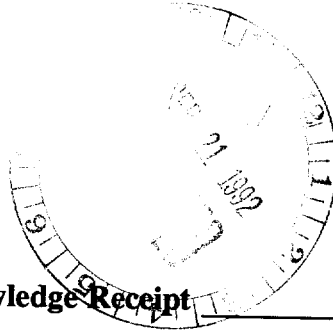
Very truly yours,

*Cynthia B. Failey*  
Cynthia B. Failey  
Operations Manager

3838

CBF/no  
enclosed check #2848 - \$1,000.00

cc: G. Healy  
J. McParland  
R. Marx  
R. Shapiro  
R. Smith



Acknowledge Receipt

Dated



# EXCESS LINE ASSOCIATION OF NEW YORK

Richard L. Smith • Executive Director

September 15, 1992

Pension and Welfare Benefits Administration  
P.O. Box 75212  
Washington, D.C. 20013-5212

Re: Notice of Plan of Deferred Compensation

Gentlemen:

This notice is given pursuant to 29 CFR Section 2520.103-23 and the guidance issued under the Pension and Welfare Benefits Administration Assessment of Civil Penalties - Top Hat Plan, 57 Fed. Reg. 33019 (1992). The undersigned hereby files the following information with respect to its plan of deferred compensation:

1. **Name and Address of Employer:**  
Excess Line Association of New York  
195 Broadway - Suite 2003  
New York, New York 10007
2. **Federal Employer Identification No. [EIN]:**  
22-2937521
3. Excess Line Association of New York maintains a deferred compensation plan primarily for the purpose of providing deferred compensation to a select group of management or highly-compensated employees.
4. Excess Line Association of New York maintains one (1) such plan covering one employee.

We are enclosing a check in the amount of \$1,000. to cover the civil penalty for submitting a late disclosure statement for the plan under the above-described amnesty program.

3838

Kindly date-stamp the enclosed extra copy of this notice and return it to us in the self-addressed, stamped envelope provided.

Very truly yours,

*Cynthia B. Failey*  
Cynthia B. Failey  
Operations Manager 3838

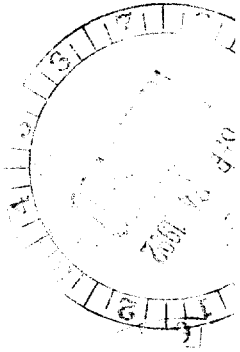
CBF/no  
enclosed check #2848 - \$1,000.00

- cc: G. Healy
- J. McParland
- R. Marx
- R. Shapiro
- R. Smith

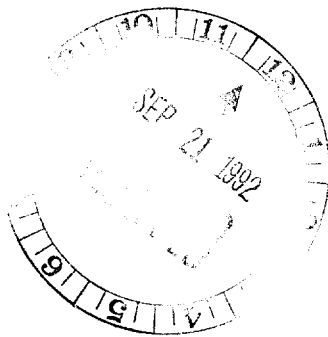
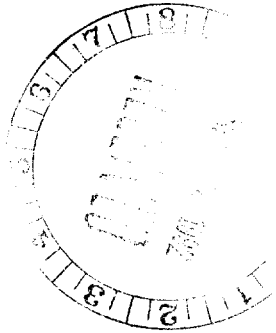
*(Faint circular stamps, likely from a self-addressed stamped envelope, with illegible text and dates)*

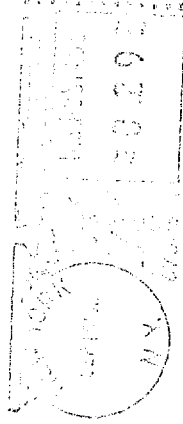
Acknowledge Receipt

Dated

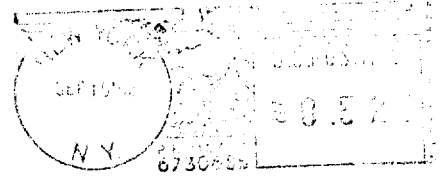


3838





**EXCESS LINE ASSOCIATION OF NEW YORK**  
195 Broadway, Suite 2003  
New York, New York 10007



# FIRST CLASS MAIL

lfare Benefits Admin.  
12  
D.C. 20013-5212

