



The Shield Institute

FOR THE MENTALLY RETARDED AND DEVELOPMENTALLY DISABLED

2520040184185

EXECUTIVE OFFICES
144-61 ROOSEVELT AVENUE
FLUSHING, N.Y. 11354
(718) 886-1534
FAX # (718) 961-7669

December 29, 1992

Pension and Welfare Benefits Administration
P.O. Box 75212
Washington, D.C. 20013-5212

Re: The Shield Institute
Top Hat Pension Plans
Grace Period Filing - 29 CFR 2520.104.23(b)
EIN 13-1740041

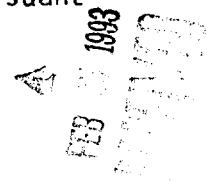
Dear Sir:

The following top hat pension plans, The Shield Institute-MHH Pension (the "Plans"), sponsored by the The Shield Institute hereby file their top hat plan reporting and disclosure exemption statement pursuant to 29 CFR § 2520.104-23, in accordance with the United States Department of Labor ("DOL") guidelines for filing untimely statements during the grace period provided under the DOL Notice published on July 24, 1992 at 57 Fed. Reg. 33019 ("Notice").

The Plans are unfunded top hat pension plans eligible for the alternative method of compliance described in 29 CFR § 2520.104.23(b). Although the statement limiting the Plans' reporting and disclosure requirements was not filed within the required 120 day period, the Plans hereby file the required statement within the grace period allowed under the Notice. The statement is accompanied by the payment of a civil penalty in the amount of \$1,000, the maximum penalty allowed under the Notice.

Enclosed please find the following:

1. A check in the amount of \$1,000 made out to "U.S. Department of Labor"; and
2. The statement evidencing the Plans' eligibility for the alternative method of reporting and disclosure compliance as required pursuant to 29 CFR § 2520.104-23(b).



OFFICERS: Charles Rosenberg, President; Philip Zaro, Chairman of the Board; Norman Lowe, Financial Secretary; Richard Agins, Treasurer; Milton Hart, Board Chairman Emeritus.

BOARD MEMBERS: Anthony J. Aquilato, Jack Eliscu, Peter L. Faber, Frank Flores, Martin Freiman, Arthur Glasser, Hon. Emanuel R. Gold, Jack Gordon, Hon. Angelo Graci, Jerome Kenyon, Hon. Joseph B. Klein, Ibolya I. Korody, Lawrence Lennon, Irving Marks, Hon. Alton G. Marshall, Steven J. Schacter, Elliot Schaeffer, Richard L. Schwartz, Ralph E. Spiegel, Michael Talley, William Zisfein.

ADMINISTRATION STAFF: Marvin H. Hunter, Ph. D., Executive Director; Joseph V. Shostak, LL.M., Executive Vice-President; Linda Buch Gherardi, M.S., Director of Operations; Morrison S. Levborg, M.D., Medical Director; Roger D. Rouse, Controller.



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TOP HAT PLAN REGISTRATION STATEMENT
Pursuant to 29 C.F.R. § 2520.104.23

1. Name of Employer: The Shield Institute
2. Address of Employer: 144-61 Roosevelt Avenue, Flushing, NY 11354
3. Employer ID Number: 13-1740041
4. Top Hat Plans: The Shield Institute-MHH Pension
5. Number of Employees Covered Per Plan:
The Shield Institute-MHH Pension covers 1 employee.
6. The Shield Institute maintains the above named top hat plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

The Shield Institute

By: 

Title: Controller

38392

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Pension and Welfare Benefits Administration


December 29, 1992

If any further information is needed, please feel free to contact me.

Please acknowledge receipt of this letter by stamping the enclosed copy of this letter "received" and returning it to me in the attached self-addressed envelope.

Sincerely,

The Shield Institute


Controller

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encls.

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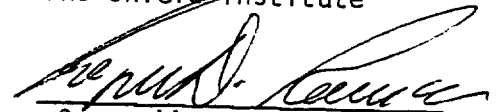
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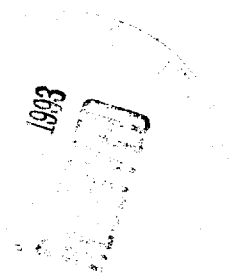
The Shield Institute



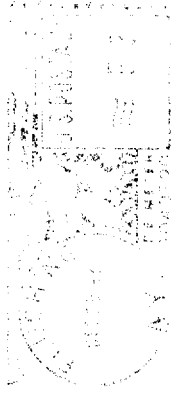
Controller

RDR:al
encs.

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Mr. Roger D. Rouse, Controller
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