

TOP-HAT PLAN EXEMPTION STATEMENT ¹

U.S. DEPT. OF LABOR
PWBA/PUBLIC DISCLOSURE
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Top-Hat Plan Exemption
PWBA
Room N-5644
U.S. Department of Labor
200 Constitution Ave., NW
Washington, D.C. 20210

2520040183819

Employer Name: SARTORIUS CORPORATION NORTH AMERICA, INC.

Address: 131 HEARTLAND BOULEVARD, EDGEWOOD, NEW YORK 11717

Employer EIN: 94-2332288

Name of Plan:² SARTORIUS CORPORATION NORTH AMERICA, INC. DEFERRED
COMPENSATION PLAN

The Plan is maintained for select management or highly compensated employees.

Number of Plans: ONE (1)

Number of Employees in Plan(s): ONE (1)

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¹ To avoid an annual return (Form 5500) filing requirement, the employer must submit this statement to the DOL no later than 120 days after the plan becomes subject to Part 1 of Title 1 of ERISA. DOL Reg. Section 2520.104-23(b). A plan generally becomes subject to Part 1 of the Title 1 of ERISA on the later of the date of adoption or the effective date of the plan. See DOL Reg. 2520.104b-2(a)(3)

² See DOL Reg. Section 2520.104.23. Although the regulations do not require the name of the plan, the employer could include the plan name.

CERTIFIED

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MAIL

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