



**Benefits Services Department**

One Park Avenue, 16th Floor  
New York, NY 10016-5802

Tel: (212) 404-3787

Fax: (212) 404-3900

Email: [NYUbenefits@msnyuhealth.org](mailto:NYUbenefits@msnyuhealth.org)


2520040764724

August 14, 2003

**TOP HAT REGISTRATION STATEMENT**  
**Pursuant to 29 C.F.R # 2520.104-23**

1. **Name of Employer:** NYU Hospital Center
2. **Address of Employer:** One Park Avenue  
16<sup>th</sup> Floor  
New York, NY 10016
3. **Employer ID Number:** 13-3971298
4. **Top Hat Plan:** The NYU Hospitals Center & Participating Employers  
457(b) Retirement Plan
5. **Number of Employees Covered Under Plan:** 18
6. NYU Hospitals Center maintains the above named top hat plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. To the knowledge of the undersigned, NYU Hospital Center maintains no other top hat plans.

NYU HOSPITALS CENTER

By:   
Title: Senior Director of Benefits



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August 14, 2003

Secretary of Labor  
Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue N.W.  
Washington, SC 20210

Re: The NYU Hospitals Center & Participating Employers 457(b) Retirement Plan

Dear Sir or Madam:

In accordance with 29 C.F.R. # 2520.104-23, alternative method of compliance with part 1 of title I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), this is to report to the Department that NYU Hospital Center (EIN 13-3971298) ("NYUHC") maintains the referenced Plan which is a non-qualified employee pension benefit plan maintained primarily for the purpose of providing deferred compensation to a select group of highly compensated management employees of NYUHC. Benefits under the Plan are paid solely from NYUHC's general assets. There are participants in the Plan.

Sincerely,

A handwritten signature in black ink that reads "Nancy Sanchez".

Nancy Sanchez  
Senior Director of Benefits

From: Oneyda Rodriguez (212)404-3822  
NYU MEDICAL CENTER  
1 Park Ave 10FL  
New York, NY, 10016

REVENUE BARCODE



To: Secretary of Labor Employee Benefit (999)999-9999  
U.S. Department of Labor  
200 Constitution Avenue N.W.  
Room N-5644  
Washington, DC, 20210

SHIP DATE: 22AUG03  
WEIGHT: 1 LBS

Ref: 00-1-1029



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