



# BARNUM MECHANICAL INC.

General Engineering Contractor Class "A" License No. 612589  
9244 Old State Hwy., Suite 113, P.O. Box 377  
Newcastle, California, Phone (916) 652-7223, Fax (916) 652-5147



October 20, 2003

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5638  
United States Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

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Dear Sir or Madam:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Parts 1, Title 1, as provided for an unfunded plan for a select group of management or highly compensated employees in the D.O.L. Regulation 2520.104-23 the following information is provided.

1. The name of the employer is: Barnum Mechanical Inc.
2. The mailing address of the employer is: P.O. Box 377, Newcastle, CA 95658
3. The employer's federal identification number (EIN) is: 680206785
4. The number of plans and the number of participants in each plan is:  
One (1) plan covering twelve (12) employees. The above named employer maintains this plan primarily for the purpose of providing deferred compensation benefits to a select group of management or highly compensated employees.

The employer will send a copy of all plan documents and agreements to the Secretary, upon request.

Respectfully submitted,

Thomas Barnum  
President

SECURITIES CORP.  
Investment Management  
Investment Advisor  
Orinda, CA 94503

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OCT 20 2003

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