

HOBART
AND
WILLIAM SMITH
COLLEGES

2003 09 19 3:09

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

2520040763799

TOP HAT STATEMENT

Name of Tax-Exempt Employer: The Colleges of the Seneca

Address of Tax-Exempt Employer: 337 Pulteney Street
Geneva, NY 14456

E.I.N. 16-0743040

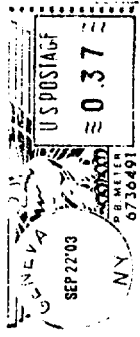
The Colleges of the Seneca (the "Employer"), hereby declare that the primary purpose of the 457 (b) Deferred Compensation Plan of the Colleges of the Seneca (the "Plan") is to provide deferred compensation for a select group of management and highly compensated employees. The Plan took effect October 1, 2003. The number of employees covered under the Plan is 1. The Employer does not maintain any other unfunded top-hat plans described in Department of Labor Regulation Section 2520.104-23(b).

Date: September 19, 2003

By:  _____

Title: VP for Planning and Secretary to the Board of Trustees
(On Behalf of the Plan Administrator)

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