

**Rockford
Anesthesiologists
Associated, LLC**

2520040762559

December 3, 2003

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

Re: 29 CFR § 2520.104-23(b) Reporting and Disclosure Statement

Dear Sir or Madam:

In accordance with Department of Labor Regulations § 2520.104-23 that provides an alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title 1 of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), for unfunded pension plans maintained by an employer for a select group of management or highly compensated employees, Rockford Anesthesiologists Associated, L.L.C. ("RAA") as employer and plan administrator, hereby files this reporting and disclosure statement containing the following information:

1. Name and address of employer: Rockford Anesthesiologists Associated, L.L.C
2. Employer identification number (EIN) assigned to the employer by the Internal Revenue Service: 36-2476123
3. Name of the Plan: Rockford Anesthesiologists Associated Deferred Compensation Plan ("Plan")
4. The employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. The number of employees in the Plan is 29. The Plan is the only top hat plan maintained by RAA as of the date of this filing.

Very truly yours,
Rockford Anesthesiologists Associated, L.L.C.

By: 
W. Stephen Minore, M.D., F.C.C.P., C.P.E.
President

WSM/jmw
Enclosures

**Rockford
Anesthesiologists
Associated, LLC**

U.S. DEPT. OF LABOR

2003-12-03 11:12

December 3, 2003

**VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington, D.C. 20210

**Re: Rockford Anesthesiologists Associated, L.L.C.
2202 Harlem Road, Suite 200, Loves Park, Illinois 61132
EIN: 36-2476123**

Dear Sir or Madam:

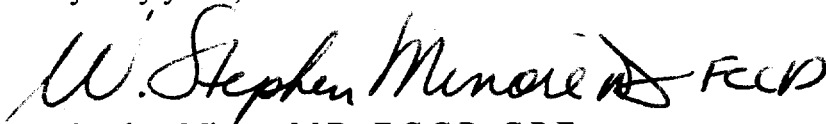
Enclosed is a statement required under Department of Labor Regulation Section 2520.104-23 with respect to the Rockford Anesthesiologists Associated Deferred Compensation Plan (the "Plan"), adopted by Rockford Anesthesiologists Associated, L.L.C. ("RAA").

The Plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees (a "top hat Plan").

The total number of employees currently participating in the Plan is 29. The Plan is the only top hat plan maintained by RAA as of the date of this filing.

If you need any additional information concerning this filing, please contact the undersigned. For our records, please acknowledge receipt of this filing by date-stamping the enclosed copy of the letter and returning it to me in the envelope provided.

Very truly yours,



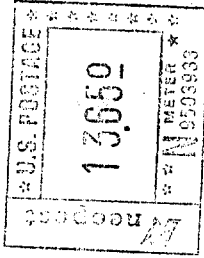
W. Stephen Minore, M.D., F.C.C.P., C.P.E.
President

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