

2520040762105



AMVESCAP plc
c/o AVZ, Inc.
1315 Peachtree Street
Atlanta, Georgia 30309

1315 Peachtree Street NE
Suite 500
Atlanta, Georgia 30309
404 479 1095

CO MAR 10 AM 11:45

February 28, 2003

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Ms. Danita Thomas
U.S. Department of Labor, Office of the Chief Accountant
122 C Street, N.W., Suite 400
Washington, DC 20001-2109

Public Disclosure
Pension and Welfare Benefit Admin.
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

RE: Request for Top Hat Plan Statement Required By DOL Reg. 29 CFR § 2520.104-23

DFVC Number: DFVC2000-00030

EIN Number: 58-2287224

Ladies and Gentlemen:

I am writing in response to Ms. Thomas' recent letter, received by AMVESCAP plc on September 10, 2002. While we acknowledge that AMVESCAP filed an application for relief under the Department of Labor's Delinquent Filer Voluntary Compliance Program with respect to the AMVESCAP Global Stock Plan II (the "Plan"), it is unclear whether it was necessary for AMVESCAP to enter the DFVC Program. Nevertheless, enclosed please find the Top Hat Plan Statement pursuant to Department of Labor Regulation 29 CFR § 2520.104-23.

Please feel free to contact me at (404) 479-2924 if you require any additional information to process our application.

Very truly yours,

A handwritten signature in cursive script that reads "David Romero".

David Romero



1315 Peachtree Street NE
Suite 500
Atlanta, Georgia 30309
404 479 1095

AMVESCAP plc
c/o AVZ, Inc.
1315 Peachtree Street
Atlanta, Georgia 30309

February 28, 2003

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

Notice of Top Hat Plan

Ladies and Gentlemen:

We hereby notify you, pursuant to section 110 of the Employee Retirement Income Security Act of 1974, as amended, and in accordance with Department of Labor Regulation 2520.104-23, that AMVESCAP plc, together with certain U.S. and non-U.S. affiliates, maintains four (4) plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. As of December 31, 2002, the total number of U.S. citizen or U.S. resident employees participating in each plan is set forth below. Non-U.S. employees also participate in the plans.

AMVESCAP Global Stock Plan	156 employees
AMVESCAP Global Stock Plan II	119 employees
Wholesale Representatives Deferral Plan	117 employees
IFG Representatives Deferral Plan	29 employees

Listed below are the name and address of each U.S. company that participates in one or more of the plans and the employer identification number of each such company:

AIM Management Group, Inc	EI – 76-0528004
11 Greenway, Plaza, Houston, TX 77046	
AMVESCAP Group Services, Inc	EI – 58-1995394
1315 Peachtree St, Atlanta, GA 30309	

Atlantic Trust Company, N.A. EI – 04-2986713

50 Rockefeller Plaza, Suite 15, New York City, NY 10020

INVESCO Funds Group, Inc EI – 84-0235630

4350 South Monaco Street, Denver, CO 80237

INVESCO Institutional N.A., Inc EI – 58-1707262

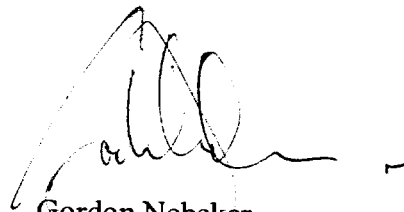
1360 Peachtree Street, Atlanta, GA 30309

INVESCO Retirement, Inc. EI – 58-2401707

1201 Peachtree Street, Atlanta, GA 30361

Please feel free to call David Romero at 404.479.2924 if you require any additional information.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Gordon Nebeker', with a long horizontal flourish extending to the right.

Gordon Nebeker,
on behalf of the Administrative
Committee of the Plan

U.S. Department of Labor

Pension and Welfare Benefits Administration
Washington, D.C. 20210



CERTIFIED MAIL, RETURN RECEIPT REQUESTED

SEP 10

Plan Administrator
Amvescap PLC
1315 Peachtree Street
Atlanta, GA 30309

RE: Request for Top Hat Plan Statement Required By Department of Labor
Regulation 29 CFR §2520.104-23

DFVC Number: DFVC2000-00030 GLOBAL STOCK PLAN FOR PMS
EIN Number: 58-2287224

Dear Plan Administrator:

The Office of the Chief Accountant has recently conducted a review of the Delinquent Filer Voluntary Compliance Program (DFVC Program). Upon reviewing our records, it appears that you did not comply with the terms of the DFVC Program. While you did submit a penalty payment and a copy of the Form 5500 to the DFVC Program, there is no record of your submitting the required registration statement with the Public Disclosure office, as required by the DFVC Program under section 4.01(b) of 60 FR 20874.

In order to remain in the DFVC Program, you must submit a statement, pursuant to ERISA regulation 29 CFR §2520.104-23 to both addresses below. Failure to submit the statements may result in additional penalties.

Danita Thomas
U.S. Department of Labor
Office of the Chief Accountant
122 C Street, N.W., Suite 400
Washington, DC 20001-2109

Public Disclosure
Pension and Welfare Benefit Admin.
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

If you have any questions, I encourage you to contact me at (202) 693-8403. (This is not a toll-free number.)

Sincerely,

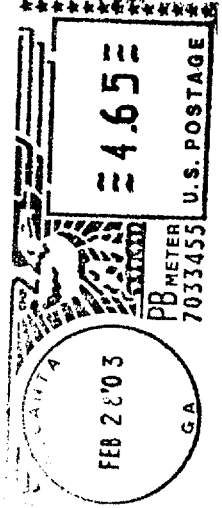
Danita Thomas
Reporting Compliance Specialist
Office of the Chief Accountant

Working for America's Workforce





0600 0024 9527 070



1315 Peachtree Street, Suite 500, Atlanta, Georgia 30309

PUBLIC DISCLOSURE
PENSION AND WELFARE BENEFIT ADMIN.
ROOM N-1513
US DEPARTMENT OF LABOR
200 CONSTITUTION AVENUE, NW
WASHINGTON, DC 20210