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 **NOMURA**
NOMURA SECURITIES INTERNATIONAL, INC.
2 World Financial Center, Building B
New York, N.Y. 10281-1198

BEVERLY WELLS
VICE PRESIDENT
DIRECTOR OF BENEFITS

(212) 667-0699

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

August 19, 1993

Top Hat Plan Exemption
Pension & Welfare Benefits Administration
Room N-5644
U. S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Dear Sirs:

The employers listed below maintain an unfunded Supplemental Executive Retirement Plan, implemented May 1, 1993, primarily for the purpose of providing deferred compensation for a select group of highly compensated employees, of:

Nomura Securities International, Inc.
Nomura Holding America, Inc.
Nomura Capital Services, Inc.
Nomura Mortgage Fund Management Corp.
Nomura International Trust Company

2 World Financial Center, Bldg. B
New York, N.Y. 10281

Plan Sponsor EIN: 13-2642206
Number of Plans: 1
Number of Participants: 89

Sincerely,

