

J.S. FLEMING ASSOCIATES, INC.

TOP HAT

Department of Labor  
Secretary for Pension  
and Welfare Benefit Programs  
Frances Perkins Building  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2520032904208

To the Secretary of Labor:

In order to comply with the requirements of the Alternative Reporting and Disclosure Method under ERISA, Title I, Part I, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, 29 CFR SS2520.104-23, the following information is provided by the undersigned plan administrator:

1. The name of the employer is: J.S. Fleming Associates, Inc.
2. The mailing address of the employer is: 28 Lord Road, Marlborough, MA 01752.
3. The employer's federal identification No. (EIN) is: 04-2638640.
4. The number of plans and the number of participants in each plan is: One (1) plan covering Joseph S. Fleming and one (1) plan covering Mary Ann Fleming.

The above-named employer maintains this plan primarily for the purpose of providing deferred compensation or salary continuation benefits for a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

J. S. FLEMING ASSOCIATES, INC.

BY:   
Plan Administrator

Dated:

10/1/92  
October 1, 1992

