



ASSOCIATION FOR  
INVESTMENT MANAGEMENT  
AND RESEARCH®

CHARLOTTESVILLE • HONG KONG • LONDON

560 Ray C. Hunt Drive • P.O. Box 3668  
Charlottesville, VA 22903-0668 USA  
Tel: 434-951-5499 • Fax: 434-951-5262  
Email: info@aimr.org • Internet: www.aimr.org

February 20, 2002

Top Hat Plan Exemption  
Pension and Welfare  
Benefits Administration  
Room N-5644  
U. S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Certified Mail  
Return Receipt Requested

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02 MAR - 5 PM 12:59  
U.S. DEPARTMENT OF LABOR

Top Hat Plan Exemption Filing

Dear Sir:

In compliance with ERISA Reg. § 2520.104-23 the following information is provided as an alternative compliance method for the filings required by ERISA for a deferred compensation plan maintained for the benefit of a select group of management or highly compensated employees, as follows:

1. The name and address of the employer is:

Association for Investment Management and Research  
560 Ray C Hunt Drive  
Post Office Box 3668  
Charlottesville, Virginia 22903-3668.

2. The employer identification number assigned to the employer is 54-1386480.

3. The employer maintains the plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

4. The employer currently maintains one such plan and currently nine employees are eligible to participate.

Very truly yours,

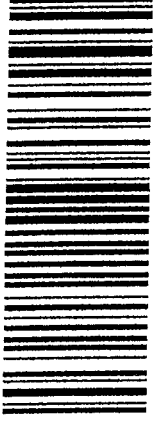
William P. McKeithan



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CHARLOTTESVILLE • HONG KONG  
560 Ray C. Hunt Drive  
P.O. Box 3668  
Charlottesville, VA  
22903-0668 USA

**CERTIFIED MAIL**



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