

# EMORY

Human Resources Division

2520032902576

October 7, 2002

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration, Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue N.W.  
Washington, D.C. 20210

02 OCT 16 PM 1:03  
FBI - MEMPHIS  
RECEIVED

Dear Sir or Madam:

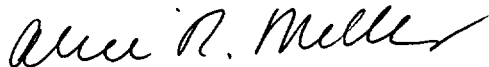
This statement is intended to qualify the Emory University Executive Deferred Compensation Letter Agreement for John D. Henry, Sr. (the "Agreement") for the alternative method of reporting and disclosure requirements of Title I of ERISA pursuant to 29 CFR Section 2520.104-23. The Agreement is an unfunded deferred compensation plan under Section 457(f) of the Internal Revenue Code of 1986, as amended. As required the following information is provided:

Name and address of employer:	Emory University 1762 Clifton Road NE Atlanta, GA 30322-4050
Employer identification number:	58-0566256
Declaration:	The Agreement is maintained primarily for the purpose of providing deferred compensation for a highly compensated employee.
Number of plans:	6
Number of employees in the plan:	1

The filing of this statement is within 120 days of the Agreement's effective date of August 31, 2002, therefore the reporting and disclosure provisions of Title I of ERISA are deemed to be satisfied with respect to the Agreement pursuant to the provisions of 29 CFR Section 2530.104-23. As a result of this filing, we understand that no additional reporting is required except that the Plan Administrator must provide plan documents if requested by the Department of Labor.

Please contact me at (404) 727-7623 if you have any questions regarding this filing.

Sincerely,



Alice R. Miller  
Vice President, Human Resources

ARM/tm

Copy: John Temple  
Kent Alexander, Esq.

Emory University  
1762 Clifton Road  
Atlanta, Georgia 30322  
*An equal opportunity, affirmative action university*

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Dear Sir or Madam:

This statement is intended to qualify the Emory University Executive Deferred Compensation Agreement for John T. Fox (the "Agreement") for the alternative method of reporting and disclosure requirements of Title I of ERISA pursuant to 29 CFR Section 2520.104-23. The Agreement is an unfunded deferred compensation plan under Section 457(f) of the Internal Revenue Code of 1986, as amended. As required the following information is provided:

Name and address of employer: Emory University  
1762 Clifton Road NE  
Atlanta, GA 30322-4050

Employer identification number: 58-0566256

Declaration: The Agreement is maintained primarily for the purpose of providing deferred compensation for a highly compensated employee.

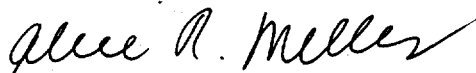
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Washington, D.C. 20210

Dear Sir or Madam:

This statement is intended to qualify the Emory University Executive Deferred Compensation Agreement for Michael Johns (the "Agreement") for the alternative method of reporting and disclosure requirements of Title I of ERISA pursuant to 29 CFR Section 2520.104-23. The Agreement is an unfunded deferred compensation plan under Section 457(f) of the Internal Revenue Code of 1986, as amended. As required the following information is provided:

Name and address of employer:	Emory University 1762 Clifton Road NE Atlanta, GA 30322-4050
Employer identification number:	58-0566256
Declaration:	The Agreement is maintained primarily for the purpose of providing deferred compensation for a highly compensated employee.
Number of plans:	<u>6</u>
Number of employees in the plan:	1

The filing of this statement is within 120 days of the Agreement's effective date of August 31, 2002, therefore the reporting and disclosure provisions of Title I of ERISA are deemed to be satisfied with respect to the Agreement pursuant to the provisions of 29 CFR Section 2530.104-23. As a result of this filing, we understand that no additional reporting is required except that the Plan Administrator must provide plan documents if requested by the Department of Labor.

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Vice President, Human Resources

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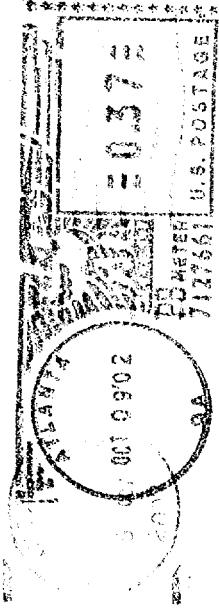
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Kent Alexander, Esq.

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M:\Option plan\DOL filing M Johns.doc

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