



Mainiero, Smith and Associates, Inc.

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Planning / Civil Engineering / Land Surveying

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October 1, 2002

Office of Employee Benefits Security
Labor-Management Services Administration
U.S. Department of Labor
Washington, D.C. 20216

To The Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 2074 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR sec. 2520.104-23, the following information is provided by the undersigned administrator:

- (1) The name of the employer is: Mainiero, Smith and Associates, Inc.
- (2) The mailing address of the employer is:
777 East Tahquitz Canyon Way, Suite 301
Palm Springs, CA 92262
- (3) The Employer Identification Number is: 95-3089228
- (4) The above-named employer maintains a plan primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
- (5) Number of Plans and Participants in each plan:
2 plans covering 9 employees.
- (6) The employer will provide a copy of the agreement(s) to the Secretary of Labor upon request.

MAINIERO, SMITH AND ASSOCIATES, INC.

By Robert S. Smith
Robert S. Smith, President

