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ALTERNATIVE REPORTING AND DISCLOSURE  
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Rob Fowler  
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August 13, 2002

WILLIAM MARSH RICE UNIVERSITY  
(457 Plan)  
002376.0928

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, D.C. 20210

**CERTIFIED MAIL-  
RETURN RECEIPT REQUESTED**

RE: Top-Hat Plan of William Marsh Rice University

Ladies and Gentlemen:

In compliance with the requirements of 29 C.F.R. § 2520.104-23, we have enclosed an "Alternative Reporting and Disclosure Statement for Pension Plans for Certain Selected Employees" for each of the above-named employers' top-hat plans.

If you have any questions, please call the undersigned at 713.229.1229.

Very truly yours,



Rob Fowler

RF:94048  
Enclosure

cc: Mr. Richard A. Zansitis  
Ms. Mary Cronin  
Ms. Elaine Britt  
Mr. John Neslage

**ALTERNATIVE REPORTING AND DISCLOSURE STATEMENT  
FOR PENSION PLANS FOR CERTAIN SELECTED EMPLOYEES**

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. Section 2520.104-23, the following information is provided by the undersigned employer:

1. Name and Address of Employer: William Marsh Rice University  
6100 South Main  
Houston, TX 77005
2. Employer Identification Number: 74-1109620
3. The Employer hereby declares that it maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
4. The Employer hereby states that it maintains the 457(b) Deferred Compensation Plan of William Marsh Rice University and that 52 employees currently participate in such plan.
5. The Employer will provide copies of any plan documents upon request of the Secretary of Labor.

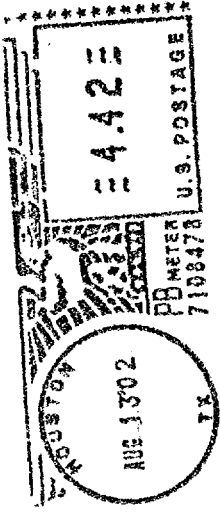
Dated: 8-13-02

By   
For the Plan Administrator

**BAKER BOTTS LLP**

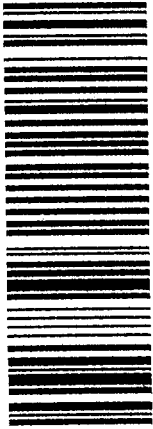
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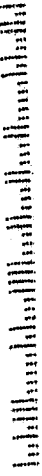


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