

Please Respond To:

Lloyd Douglas Dix  
Vice President &  
General Counsel

125 So. Vermont Ave • P.O. Box 74929 • Los Angeles, CA 90004  
(213) 739-7730 • FAX: (213) 739-7665

U.S. DEPT. OF LABOR  
ERISA/PUBLIC DISCLOSURE  
02 JUN 11 AM 9:47

1440 N. Harbor Blvd. #515  
Fullerton, CA 92835

701 Howe Ave. #A2  
Sacramento, CA 95825

James W. Hughes  
Deputy General Counsel

2520032901331

Writer's Direct Dial:

## Department of Labor Reporting & Disclosure Statement

**Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
U.S. Department of Labor, Room N-5644  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210**

*Re: Notice of Deferred Compensation*

**To the Secretary of Labor:**

**The following information is provided by the undersigned plan administrator in order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as permitted to an unfunded pension benefit plan for a select group of management or highly compensated employees in DOL Reg. Section 2520.104-23:**

**(1) The number of plans and the number of participants in such plan(s) are one (1) plan covering approximately fifteen (15) employees. The employer maintains said plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of its management or highly compensated employees.**

**The employer will provide a copy of the plan document(s) to the Secretary of Labor upon request.**

**(2) The name of the Employer sponsoring such plan is: USCB, Inc., a California corporation.**

**(3) The address of the employer sponsoring such plan is: 125 S. Vermont Avenue, Los Angeles, California 90004.**

**(4) The employer's federal identification number (EIN) is: 95-2770443**



Secretary of Labor  
31 May, 2002  
Page 2

Sincerely yours,  
OFFICE OF THE GENERAL COUNSEL,

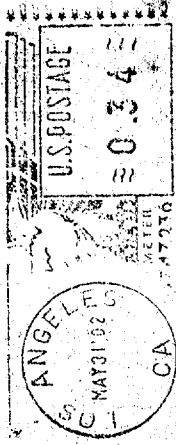
A handwritten signature in black ink, appearing to read 'Lloyd Dix', with a long horizontal flourish extending to the right.

Lloyd Douglas Dix

LDD/ms

cc: Thomas Isgrigg

125 SOUTH VERMONT AVENUE  
P.O. BOX 74929  
LOS ANGELES, CALIF. 90004-9990



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