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DEPARTMENT OF LABOR
DISCLOSURE
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TOP HAT PLAN REPORTING AND DISCLOSURE STATEMENT

DOL Reg. Section 2520.104-23 provides Top Hat Plans with an alternative method of complying with the reporting and disclosure requirements of Part 1 of Title I of ERISA. This alternative is available to employee benefit plans:

- ◆ which are maintained by an employer primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, and
- ◆ for which benefits (i) are paid as needed solely from the general assets of the employer, (ii) are provided exclusively through insurance contracts or policies, the premiums for which are paid directly by the employer from its general assets, issued by an insurance company or similar organization which is qualified to do business in any state, or (iii) both.

As administrator of a plan described above, and in order to satisfy the reporting and disclosure provisions of Part 1 of Title I of ERISA by the filing of a statement with the Secretary of Labor, the following statements are made in accordance with the requirements noted above

Name of Employer: Great Plains Health Alliance, Inc.

Address of Employer: PO Box 366, Phillipsburg, KS 67661

EIN assigned by the IRS: 48-0617739

Employer Declaration: The above-referenced employer maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of such plans maintained by the above-referenced employer: 1

Number of employees in each of the above-referenced plans: 0

DATE: 5/10/02

BY: [Signature]

Title: President/CEO

ERISA FINAL REGULATION §2520.104-23 Alternative method of compliance for pension plans for certain selected employees.--

§2520.104-23 Alternative method of compliance for pension plans for certain selected employees.--(a) Purpose and scope. (1) This section contains an alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans maintained by an employer for a select group of management or highly compensated employees, pursuant to the authority of the Secretary of Labor under section 110 of the Act (88 Stat. 851).

(2) Under section 110 of the Act, the Secretary is authorized to prescribe an alternative method for satisfying any requirement of Part 1 of Title I of the Act with respect to any pension plans, or class of pension plans, subject to such requirement.

(b) Filing obligation. Under the authority of section 110 of the Act, an alternative form of compliance with the reporting and disclosure requirements of Part 1 of the Act is provided for certain pension plans for a select group of management or highly compensated employees. The administrator of a pension plan described in paragraph (d) shall be deemed to satisfy the reporting and disclosure provisions of Part 1 of Title I of the Act by--

(1) Filing a statement with the Secretary of Labor that includes the name and address of the employer, the employer identification number (EIN) assigned by the Internal Revenue Service, a declaration that the employer maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, and a statement of the number of such plans and the number of employees in each, and

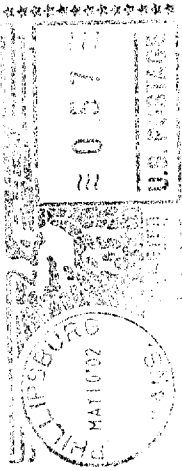
(2) Providing plan documents, if any, to the Secretary upon request as required by section 104(a)(6) of the Act. Only one statement need be filed for each employer maintaining one or more of the plans described in paragraph (d) of this section. For plans in existence on May 4, 1975, the statement shall be filed on or before August 31, 1975. For a plan to which Part 1 of Title I of the Act becomes applicable after May 4, 1975, the statement shall be filed within 120 days after the plan becomes subject to Part 1. [Revised by 67 FR 771, effective March 8, 2002.]

(c) Filing Address. Statements may be filed with the Secretary of Labor by mailing them addressed to: Top Hat Plan Exemption, Pension and Welfare Benefits Administration, Room N-5644, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, DC 20210, or by delivering it during normal working hours to the Division of Reports, Office of Program Services, Pension and Welfare Benefits Administration, Room N-5644, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, D.C. [Amended on March 1, 1989 by 54 FR 8624.]

(d) Application. The alternative form of compliance described in paragraph (b) of this section is available only to employee pension benefit plans--

(1) Which are maintained by an employer primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, and

(2) For which benefits (i) are paid as needed solely from the general assets of the employer, (ii) are provided exclusively through insurance contracts or policies, the premiums for which are paid directly by the employer from its general assets, issued by an insurance company or similar organization which is qualified to do business in any State, or (iii) both.



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PHILLIPSBURG PA



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