



# FUTURES INDUSTRY ASSOCIATION

INC.

2001 Pennsylvania Avenue N.W. • Suite 600 • Washington, D.C. 20006-1807 • (202) 466-5460

Fax: (202) 296-3184

December 17, 2002

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Ave., NW  
Washington, DC 20210

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Dear Sir or Madam;

Enclosed please find notification that the Futures Industry Association, Inc. (EIN: 13-5642275) has implemented a 457(b) deferred compensation plan for highly compensated employees. This plan was established on November 29, 2002.

If you should have any questions or need further information, please do not hesitate to contact me. Thank you in advance for your assistance with this matter.

Sincerely,

Jeffrey D. Morgan, CAE  
Chief Operating Officer

**Statement Required Under Department of Labor Regulations Section 2520.104-23**

The Employer named below maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Name of Employer:

Futures Industry Association, Inc.

Address of Employer:

2001 Pennsylvania Ave. NW, Suite 600, Washington, DC 20006

Employer's Employer Identification Number (EIN)

52-2304754

Number of such plans:

one

Number of employees in each plan:

five

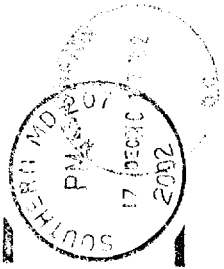
This Statement must be filed within 120 days after the plan becomes subject to Part I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). The Employer may be required to provide plan documents, if any, to the Secretary of Labor upon request as required by Section 104(a)(1) of ERISA.

Mail the completed Statement to the Secretary of Labor at:

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