



The Research Foundation
of State University of New York

U.S. DEPT. OF LABOR
ON/PUBLIC DISCLOSURE
02 JUL 18 PM 1:58

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

2520032900268

Name of Tax-Exempt Employer: The Research Foundation of SUNY
Address of Tax-Exempt Employer: P.O. Box 9
Albany, NY 12201-0009
Employer Identification Number: 14-1368361
Plan Effective Date: July 1, 2002

Top-Hat Statement by Plan Administrator

The Research Foundation of SUNY (the "Employer"), hereby declares that the purpose of the 457(b) Deferred Compensation Plan of The Research Foundation of SUNY (the "Plan") which was adopted on March 25, 2002 by its Board of Directors, is to provide deferred compensation for a select group of management and highly compensated employees. The number of employees eligible for this plan is 342, out of a total employment number of approximately 9,500.

The Employer does not maintain any other Top-Hat plans.

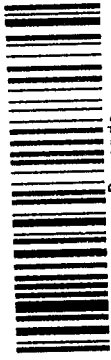
Date: July 17, 2002

By: *Nancy L. Brummett-Pa*

Title: Vice President for Employee Services
(On behalf of the Plan Administrator)



ORIGIN ID: DSVR (518) 434-7050
 CHRISTY MCNEIL
 RESEARCH FOUNDATION OF SUNY
 BROADWAY SUNY PLAZA
 ALBANY, NY 12246



FedEx

FedEx Revenue Barcode

TO:

TOP HAT PLAN EXEMPTION
 PENSION&WELFARE BENEFITS ADMINISTR.
 RM N-5844- US DEPT OF LAB
 200 CONSTITUTION AVENUE, NJ
 WASHINGTON, DC 20210

REF: M.Frieberg

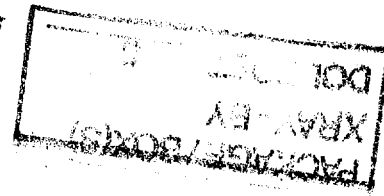


Delivery Address Barcode (FedEx-EDR)

STANDARD OVERNIGHT

TRK# 4714 6321 0771 0201

THU
 Deliver by:
 18JUL02
 AZ



20210 -DC-US

IAD
ZM NHKA



Part # 156148 NAITT 03-01

Express

The World On Time
PaK

Small