



Southwest Water Company
and family of companies

"A Water Management Company"

U.S. DEPARTMENT OF LABOR
PUBLIC DISCLOSURE
02 APR 25 PM 1:29

225 North Barranca Avenue
Suite 200
West Covina, California 91791-1605
(626) 915-1551 tel
(626) 915-6892 fax
www.southwestwater.com

2520032541676

April 11, 2002

REGISTERED MAIL; RETURN RECEIPT REQUESTED

Secretary of Labor
Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington D.C. 20210

Re: Alternative Method of Compliance under DOL Reg. Section 2520.104-23

Dear Sir or Madam:

The purpose of this letter is to comply with the "Alternative Method of Compliance" for reporting and disclosure (as set forth in DOL Reg. Section 2520.104-23) with respect to a Deferred Compensation Plan (the "Plan") established by Southwest Water Company, a Delaware corporation (the "Company").

In accordance with that Section, we provide you with the following:

1. Name and Address of the Company.

Southwest Water Company
225 N. Barranca Avenue
Suite 200
West Covina, CA 91791-1605

2. Employer Identification No.

95-1840947

3. Declaration.

The Company established, effective 01/01/2002, the Plan, which is maintained primarily for the purpose of providing deferred compensation for a select group of management and/or highly compensated employees of the Company. Currently, 19 employees participate in the Plan.

4. Documents.

With respect to the Plan, the Company will provide to the Secretary of Labor, upon request, copies of the Plan documents, if any, that are required by Section 104 (a) (1) of the Employee Retirement Income Security Act of 1974 to be filed with the Secretary of Labor.

Enclosed is a copy of this letter, which we ask that you date-stamp and return in the enclosed, self-addressed stamped envelope.

Should have any questions, please contact me at the above address.

Sincerely,



Shelley Farnham
Vice President, Human Resources

cc: George R. Small, Clark Bardes Consulting/CRG



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Secretary of Labor, Top Hat Plan Exemption
Pension & Welfare Benefits Admin., Rm. N-5644
U.S. Dept. of Labor
200 Constitution Ave., NW
Washington, D.C. 20210

ECO Resources, Inc.

Master Tek International, Inc.

New Mexico Utilities, Inc.

Suburban Water Systems

25210+0002

