

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 935

Amended Confirmation Number:

Employer Information

Name: Headlands Organization, LLC
Address: 155 N. Wacker Drive, Suite 1980
City: Chicago State: IL Zip Code: 60606

Plan Administrator Information

Name: Chief Financial Officer/Headlands Organization, LLC
Address: 155 N. Wacker Drive, Suite 1980
City: Chicago State: IL Zip Code: 60606
Phone: 4155913232
Email: james.baker@bakermckenzie.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: Headlands Organization, LLC 2016 Trading Revenue Based Deferred Compensation Plan Number of Employees: 8

Additional Information:

Submitted by James P. Baker, attorney for Headlands Organization, LLC



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 935. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.