

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 12/21/2021 1:29 PM EST

Confirmation Number: 9112

Amended Confirmation Number:

Employer Information

Name: NewCo Family Office, LLC
Address: 2929 Walnut Street, Suite 1150
City: Philadelphia
State: PA
Zip Code: 19104

Plan Administrator Information

Name: William Callahan/Alta Partners, LLC
Address: 2929 Walnut Street, Suite 1150
City: Philadelphia
State: PA
Zip Code: 19104
Phone: 2677695964
Email: bill.callahan@altallc.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	ALTA PARTNERS LLC SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN	Number of Employees: 1
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Additional Information:

For informational purposes only, we are in the process of changing the legal name of our entity from NewCo Family Office, LLC to Alta Partners, LLC with the IRS. This action is pending and will likely occur in early 2022.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 9112. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.