

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 8/16/2021 11:06 AM EST

Confirmation Number: 8512

Amended Confirmation Number:

Employer Information

Name: Ambac Financial Group, Inc.
Address: One World Trade Center, 41st Floor
City: New York
State: NY
Zip Code: 10007

Plan Administrator Information

Name: William White / Assistant General Counsel and Corporate Secretary
Address: One World Trade Center, 41st Floor
City: New York
State: NY
Zip Code: 10007
Phone: 9179210114
Email: WWhite@ambac.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	EXECUTIVE STOCK DEFERRAL PLAN	Number of Employees: 7
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Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 8512. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.