

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 5/24/2021 1:45 PM EST

Confirmation Number: 8188

Amended Confirmation Number:

Employer Information

Name: The Rockefeller Foundation  
Address: 420 5th Avenue  
City: New York  
State: NY  
Zip Code: 10018

Plan Administrator Information

Name: The Rockefeller Foundation Benefit Committee  
Address: 420 5th Avenue  
City: New York  
State: NY  
Zip Code: 10018  
Phone: 2128528397  
Email: idimario@rockfound.org

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	The Deferred Compensation Plan of The Rockefeller Foundation	Number of Employees: 9
------	------------	--	------------------------

Additional Information:

This filing is made as part of a submission under the Delinquent Filer Voluntary Compliance Program (DFVCP).



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 8188. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.