

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 5/6/2021 5:51 PM EST

Confirmation Number: 8118

Amended Confirmation Number:

Employer Information

Name: Lumbee Guaranty Bank
Address: 403 East Third St., Attn: Chief Executive Officer
City: Pembroke
State: NC
Zip Code: 28372

Plan Administrator Information

Name: Lumbee Guaranty Bank
Address: 403 East Third St., Attn: Chief Executive Officer
City: Pembroke
State: NC
Zip Code: 28372
Phone: 9105225825
Email: kylechavis@lumbeeguarantybank.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Lumbee Guaranty Bank Change in Control Severance Agreement (J. Gore)	Number of Employees: 1
ID:2	Plan Name:	Lumbee Guaranty Bank Change in Control Severance Agreement (B. Maynor)	Number of Employees: 1
ID:3	Plan Name:	Lumbee Guaranty Bank Change in Control Severance Agreement (J. Newton)	Number of Employees: 1
ID:4	Plan Name:	Lumbee Guaranty Bank Change in Control Severance Agreement (K. Chavis)	Number of Employees: 1
ID:5	Plan Name:	Lumbee Guaranty Bank Change in Control Severance Agreement (T. Locklear)	Number of Employees: 1

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 8118. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.