

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 9/23/2020 9:01 AM EST

Confirmation Number: 6972

Amended Confirmation Number:

Employer Information

Name: TradeStation Group, Inc.
Address: 8050 SW 10th Street, Suite 4000
City: Plantation
State: FL
Zip Code: 33324

Plan Administrator Information

Name: TradeStation Group, Inc.
Address: 8050 SW 10th Street, Suite 4000
City: Plantation
State: FL
Zip Code: 33324
Phone: 9546527228
Email: jpione@tradestation.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: TradeStation Group, Inc. Nonqualified Deferred Compensation Plan Number of Employees: 94

Additional Information:

effective date October 1, 2020 total eligible employees 94 total participating employees 6



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6972. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.