

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 6956

Amended Confirmation Number:

Employer Information

Name: AXIA FINANCIAL LLC
Address: 1120 112th Ave NE, Suite 600
City: Bellevue
State: WA
Zip Code: 98004

Plan Administrator Information

Name: AXIA FINANCIAL LLC
Address: 1120 112th Ave NE, Suite 600
City: Bellevue
State: WA
Zip Code: 98004
Phone: 4259741625
Email: leslie.boyce@axiahomeloans.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: The Axia Nonqualified Deferred Compensation Number of
Plan Employees: 5

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6956. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.