

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 4/22/2020 2:52 PM EST

Confirmation Number: 6512

Amended Confirmation Number:

Employer Information

Name: Carrier Global Corporation
Address: 13995 Pasteur Boulevard
City: Palm Beach
Gardens
State: FL
Zip Code: 33418

Plan Administrator Information

Name: Carrier Global Corporation Employee Benefit Committee
Address: 13995 Pasteur Boulevard
City: Palm Beach
Gardens
State: FL
Zip Code: 33418
Phone:
Email: Christopher.Boccaccio@Carrier.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Carrier Global Corporation Pension Preservation Plan	Number of Employees: 148
ID:2	Plan Name:	Carrier Global Corporation Deferred Compensation Plan	Number of Employees: 53
ID:3	Plan Name:	Carrier Global Corporation Automatic Contribution Excess Plan	Number of Employees: 82
ID:4	Plan Name:	Carrier Global Corporation Savings Restoration Plan	Number of Employees: 123
ID:5	Plan Name:	Carrier Global Corporation LTIP Performance Share Unit Deferral Plan	Number of Employees: 22

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6512. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.