

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 2/11/2020 9:19 AM EST

Confirmation Number: 6251

Amended Confirmation Number:

Employer Information

Name: Center for Research in Security Prices, LLC
Address: 105 W. Adams Street, Suite 1700
City: Chicago
State: IL
Zip Code: 60603

Plan Administrator Information

Name: Jennifer Haffner / CFO
Address: 105 W. Adams Street, Suite 1700
City: Chicago
State: IL
Zip Code: 60603
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Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	CRSP 457(b) Deferred Compensation Plan	Number of Employees: 2
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Additional Information:

Center for Research in Security Prices, LLC offered a new CRSP 457(b) Deferred Compensation Plan to eligible employees effective January 1, 2020. Seven (7) employees who are direct reports of the CEO are currently eligible for the plan. As of February 11, 2020, two (2) employees have enrolled.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6251. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.