

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 2/7/2020 2:31 PM EST

Confirmation Number: 6239

Amended Confirmation Number:

Employer Information

Name: Spalding and Day Tool and Die Company  
Address: 13040 Aiken Road  
City: Louisville  
State: KY  
Zip Code: 40223

Plan Administrator Information

Name: Spalding and Day Tool and Die Company  
Address: 13040 Aiken Road  
City: Louisville  
State: KY  
Zip Code: 40223  
Phone: 5022452283  
Email: DRooney@spaldingday.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Spalding and Day Tool and Die Company Phantom Stock Replacement Plan	Number of Employees: 33
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Additional Information:



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6239. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.