

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 2/4/2020 11:08 AM EST

Confirmation Number: 6215

Amended Confirmation Number:

Employer Information

Name: Assurex Global Corp.
Address: 175 South 3rd Street, Suite 800
City: Columbus
State: OH
Zip Code: 43215

Plan Administrator Information

Name: James R. Hackbarth
Address: 175 South 3rd Street, Suite 800
City: Columbus
State: OH
Zip Code: 43215
Phone: 6147346060
Email: jhackbarth@assurexglobal.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

| | | | |
|------|------------|--|------------------------|
| ID:1 | Plan Name: | Deferred Compensation Agreement for Timothy Wiechers | Number of Employees: 1 |
| ID:2 | Plan Name: | Deferred Compensation Agreement for James Hackbarth | Number of Employees: 1 |

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 6215. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.