

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 5225

Amended Confirmation Number:

Employer Information

Name: UMG RECORDINGS, INC.
Address: 2220 COLORADO AVENUE
City: SANTA MONICA
State: CA
Zip Code: 90404

Plan Administrator Information

Name: IVY COLBERT/UNIVERSAL MUSIC
Address: 2220 COLORADO AVENUE
City: SANTA MONICA
State: CA
Zip Code: 90404
Phone: 3108654609
Email: ivy.colbert@umusic.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	UNIVERSAL MUSIC GROUP SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN	Number of Employees: 3
ID:2	Plan Name:	UNIVERSAL MUSIC GROUP SUPPLEMENTAL RETIREMENT ACCOUNT PLAN	Number of Employees: 30

Additional Information:

UNIVERSAL MUSIC GROUP SUPPLEMENTAL EXECUTIVE RETIREMENT PLAN
UNIVERSAL MUSIC GROUP SUPPLEMENTAL RETIREMENT ACCOUNT PLAN



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 5225. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.