

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 489

Amended Confirmation Number:

Employer Information

Name: Kenneth Cole Productions, Inc.
Address: 603 West 50th Street
City: New York State: NY Zip Code: 10019

Plan Administrator Information

Name: Kenneth D. Cole
Address: 603 West 50th Street
City: New York State: NY Zip Code: 10019
Phone:
Email: pasco@aschettinostruhs.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

| | | | |
|------|------------|-------------------------------------------------------------------|-------------------------|
| ID:1 | Plan Name: | Phantom Stock Appreciation Rights Award Letter | Number of Employees: 1 |
| ID:2 | Plan Name: | Kenneth Cole Productions, Inc. 2014 Phantom Equity Incentive Plan | Number of Employees: 21 |

Additional Information:

Kenneth Cole Productions, Inc. (the "Company") is obligated to provide certain deferred compensation benefits pursuant to a Phantom Stock Appreciation Rights Award Letter (the "Plan"). This filing relates to the Plan. The Plan is an "employee pension benefit plan," within the meaning of Section 3(2) of ERISA, and is maintained by the Company primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees (a "Top Hat Group"). The Company also maintains one other plan providing similar benefits to a Top Hat Group consisting of 21 employees with respect to which a prior filing was made.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 489. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.