

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 2/7/2019 8:55 AM EST

Confirmation Number: 4715

Amended Confirmation Number:

Employer Information

Name: UBS Group AG
Address: 600 Washington Boulevard
City: Stamford State: CT Zip Code: 06901

Plan Administrator Information

Name: Administrative Committee / Americas Head of Reward
Address: 1000 Harbor Blvd.
City: Weehawken State: NJ Zip Code: 07086
Phone: 2013520721
Email: tom.reed@ubs.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name: UBS Voluntary Investment Plan	Number of Employees: 2384
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Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 4715. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.