

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/25/2014 6:04 PM EST

Confirmation Number: 45

Amended Confirmation Number:

Employer Information

Name: NOVA Financial & Investment Corp  
Address: 6245 E Broadway Blvd, Ste 400  
City: Tucson State: AZ Zip Code: 85711

Plan Administrator Information

Name: Oystien Konsmo  
Address: 1650 E. River Road, Suite 201A  
City: Tucson State: AZ Zip Code: 85718  
Phone: 5205121881  
Email: Oystein.konsmo@novahomeloans.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: Number of  
Employees: 517

Additional Information:



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 45. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.