

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/20/2018 10:44 AM EST

Confirmation Number: 4373

Amended Confirmation Number:

Employer Information

Name: Twain Financial Partners Holding, LLC  
Address: 1232 Washington Ave, Suite 200  
City: Saint Louis State: MO Zip Code: 63103

Plan Administrator Information

Name: Marc Hirshman  
Address: 1232 Washington Ave, Suite 200  
City: Saint Louis State: MO Zip Code: 63103  
Phone: 3143004137  
Email: Marc.Hirshman@twainfinancial.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Twain Financial Partners Holding LLC Performance Unit Plan	Number of Employees: 2
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Additional Information:

There are two participants.



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 4373. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.