

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 6/8/2015 10:50 AM EST

Confirmation Number: 407

Amended Confirmation Number:

Employer Information

Name: Alstom Transport Holding US Inc.
Address: 641 Lexington Avenue, 28th Floor
City: New York State: NY Zip Code: 10022

Plan Administrator Information

Name: Alstom Transport Holding US Inc.
Address: 641 Lexington Avenue, 28th Floor
City: New York State: NY Zip Code: 10022
Phone:
Email: amy.chapman@alstom.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Incentive Bonus Deferral Plan	Number of Employees: 15
ID:2	Plan Name:	Retirement Income Restoration Plan	Number of Employees: 23

Additional Information:

Participant counts for both plans include terminated employees in the covered affiliates with benefits transferred to these new plans



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 407. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.