

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/10/2017 1:47 PM EST

Confirmation Number: 3073

Amended Confirmation Number:

Employer Information

Name: Creative Planning, Inc.  
Address: 3400 College Blvd.  
City: Leawood State: KS Zip Code: 66211

Plan Administrator Information

Name: Seamus Smith  
Address: 3400 College Blvd  
City: Leawood State: KS Zip Code: 66211  
Phone: 9137541368  
Email: smith@creativeplanninglegal.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

|      |            |   |                          |
|------|------------|---|--------------------------|
| ID:1 | Plan Name: | Wealth Manager Deferred Compensation Plan             | Number of Employees: 115 |
| ID:2 | Plan Name: | Managing Director Deferred Compensation Plan          | Number of Employees: 20  |
| ID:3 | Plan Name: | Modified Managing Director Deferred Compensation Plan | Number of Employees: 1   |

Additional Information:



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 3073. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.