

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 10/13/2017 10:57 AM EST

Confirmation Number: 2980

Amended Confirmation Number:

Employer Information

Name: The Simons Foundation, Inc.
Address: 160 Fifth Avenue, 7th Floor
City: New York State: NY Zip Code: 10010

Plan Administrator Information

Name: Plan Administration Committee, Simons Foundation, Attn. HR Director
Address: 160 Fifth Avenue, 7th Floor
City: New York State: NY Zip Code: 10010
Phone: 6466540066
Email: ksavarese@simonsfoundation.org

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	The Simons Foundation 457(b) Deferred Compensation Plan	Number of Employees: 20
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Additional Information:

Other organizations participating in the plan: Foundation Associates, LLC: EIN #463285543 Clinical Research Associates, LLC: EIN#463285474



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 2980. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.