

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 3/9/2017 11:12 AM EST

Confirmation Number: 2336

Amended Confirmation Number:

Employer Information

Name: Rockford Mutual Insurance Company
Address: 527 Colman Center Drive
City: Rockford State: IL Zip Code: 61108

Plan Administrator Information

Name: Mark McWethy, CFO of Rockford Mutual Insurance Company
Address: 527 Colman Center Drive
City: Rockford State: IL Zip Code: 61108
Phone: 8154893202
Email: mmcwethy@rockfordmutual.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: Rockford Mutual Supplemental Executive Retirement Plan Number of Employees: 5

ID:2 Plan Name: Rockford Mutual Deferred Compensation Plan Number of Employees: 5

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 2336. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.